ENT AND TRADEMARK OFFICE

1296011302

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademurk Office. Addres: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vingnia 22313-1450 www.usplo.gov

FILING OR 371 IND CLMS ATTY.DOCKET NO DRAWINGS **TOT CLMS** FIL FEE REC'D ART UNIT APPL NO. (c) DATE 35 3 12960US02 1040 1765 10/17/2003 10/688,123

CONFIRMATION NO. 2021

Michael B. Harlin McAndrews, Held & Malloy, Ltd. 34th Floor 500 West Madison Street Chicago, IL 60661



FILING RECEIPT *OC000000012112111*

Date Mailed: 03/16/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kenneth R. Morris, West Lafayette, IN: G. Patrick Stahly, West Lafayette, IN;

Domestic Priority data as claimed by applicant

THIS APPLN

09/752,788 12/28/00

Foreign Applications

If Required, Foreign Filing License Granted: 03/16/2004

Projected Publication Date: 04/21/2005

Non-Publication Request: No

Early Publication Request: No

Title

Methods of generating and screening for high free energy forms in capillaries

Preliminary Class

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Customer Number: 23446 Docket No. 12960US02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Kenneth R. Morris G. Patrick Stahly

Serial No.:

10/688,123

Filed:

October 17, 2003

Title: Methods of Generating and

Screening for High Free

Energy Forms in

Capillaries

Group Art Unit:

1765

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Office of Initial Patent Examination's, Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

this date: April 1, 2004.

Michael B. Harlin

Registration No. 43,658 Attorney for Applicants

REQUEST FOR A CORRECTED FILING RECEIPT

Office of Initial Patent Examination's Filing Receipt Corrections **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the filing receipt for the above-identified case be corrected to reflect the following:

In the Section entitled "Domestic Priority Data as claimed by applicant" please add:

THIS APPLN IS A CON OF

09/752,788

12/28/00

A copy of the Corrected Official Filing Receipt reflecting all changes is attached hereto as Exhibit A.

The Commissioner is hereby authorized to charge any fees which may be required as a result of filing this paper, to Deposit Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd.

Respectfully submitted,

Dated: April 1, 2004

Michael B. Harlin

Registration No. 43,658 Attorney for Applicants

McAndrews, Held & Malloy, Ltd. 500 West Madison, 34th Floor Chicago, Illinois 60661

Telephone: (312) 775-8000 Facsimile: (312) 775-8100

April 1, 2004

Date

Signature

PTO/SB/21 (08-00)

Approved for use through 10/31/2002

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to respond to a collection of information unless it displays a valid OMB control number.

| | Original the Paperwork Security | Tract or Toody to pero | ona arc regarda to reop | ond to t | a collection of manimation | Tarmood It d | | |
|---------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------|-------------------------------------------------------------------------------|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|
| Tree of | FORM | | | A | Application Number | | 10/688,123 | |
| | | | | F | Filing Date | | October 17, 2003 | |
| | (to be used for all correspondence after initial filing) | | | F | First Named Inventor | | Kenneth R. Morris | |
| | · | Group Art Unit Examiner Name Attorney Docket N | | Group Art Unit | | 1765 | | |
| | | | | Examiner Name | | n/a | | |
| | Total Number of Page | | | umber | 12960US02 | | | |
| | ENCLOSURES (check all that apply) | | | | | | | |
| | Fee Attached Amendment/Reply After Final Affidavits/decla Extension of Time Express Abandoni Information Disclo | Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure | | Conve Applic | sheets) ed Papers vert to a plication ney, Revocation respondence aimer t | | After Allowance Communication of Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please dentify below): UEST FOR A CORRECTED ILING RECEIPT | |
| | references Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts | | Request for Refund CD Number of CD(s) | | | | | |
| | | | Remarks | | | • | | 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1 |
| | | under 37 CFR 1.52 or 1.53 | | | | | | |
| | SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm | | | | | | | |
| | | | | | | | | |
| | or Individual Name McAndrews Held & Malloy, Ltd. | | | | | | | |
| | Signature | Michael B Harli | | | | | | |
| | Date | April 1, 2004 | | | | | | |
| | CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelop addressed to: Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 1, 2004. | | | | | | | |
| | | | | | | | | |
| | Name (Print/type) | egistration No. (Atto | o. (Attorney/Agent) 43,658 | | | | | |